



**Beall's C-TPAT Expectations
Import Vendor Security Self-Assessment Form**

Last Updated: February 5, 2009

Insert Vendor Name Here

Read the attached *Beall's C-TPAT Expectations for Agents, Vendors & Manufacturers* and C-TPAT security recommendations from U.S. Customs then describe your company's security procedures related to export/import shipments to Beall's, Inc. and its subsidiaries: *Beall's Imports, Inc.* and/or *Beall's Outlet Stores, Inc.* in the U.S. by checking (v) the appropriate blocks below.

Please print, sign and forward completed forms to Attn: Beall's C-TPAT Coordinator's Office at CTPATCompliance@beallsinc.com

Business Partner Name

Business Partner Address

Respondent Name

Respondent Email

Security Self Assessment Date:

Respondent Title

(Principal/Owner, Partner, Security Manager etc)

Respondent Tel

=====

1. Select (v) the category that best describes your business with Beall's entities in the U. S. (Check all that apply)

- Vendor
 Manufacturer
 Agent
 Customs Broker
 Consolidator
 Freight Forwarder
 Ocean Carrier
 Inland Transportation Provider
 Warehouse
 Other _____

2. Years in business _____

3. Years doing business with Beall's, Inc. and its subsidiaries _____

4. Number of employees: _____

5. What Beall's, Inc. subsidiary / location(s) in the United States does your company most frequently ship to?

- Beall's Imports, Inc.
 Beall's Outlet Stores, Inc.
 Both

6. Does your company have written security procedures at non-U.S. facilities doing business with Beall's, Inc. and its subsidiaries and conduct periodic reviews of internal controls to ensure security compliance?

- Yes No



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7. Does your company affix seals to loaded containers for shipments to Beall's Inc. and its subsidiaries in the U.S.?

Note: All seals must meet or exceed the current PAS ISO 17712 standard for high security seals.

Yes No Specify Type: _____

8. Does your company store containers at its facilities?

Yes No

9. If yes to above question, are containers stored in a secure area to prevent unauthorized access or manipulation?

Yes No

10. Does your company ship full container loads (CY/CY) or mostly LCL shipments to Beall's, Inc. and its subsidiaries? (Please indicate your best estimate by volume percentage)

CY/CY Loads Only CFS / LCL Loads Only Both _____ % CY/CY _____ % CFS / LCL

Mostly CY/CY Loads _____ % Mostly CFS / LCL Loads _____ %

11. Does your company have procedures in place to verify the physical integrity of the container structure prior to stuffing, and do they address ensuring the reliability of the locking mechanisms of the doors? (See the following link for items to be addressed during a container inspection: http://www.customs.gov/xp/cgov/trade/cargo_security/ctpat/security_criteria/sec_criteria_foreign_mfc/)

Yes No

12. Does your company conduct 7-point inspections on all import containers scheduled to convey Beall's merchandise?

Yes No

13. Does your company have physical access controls to prevent unauthorized entry to facilities, maintain control of employees and visitors, and protect company assets?

Yes No

14. Have you developed and communicated a process to report shipment overages/shortages, losses or abnormalities, whether suspected or confirmed, to Beall's, Inc. management?

Yes No

15. Is your company a member of any of the following U.S. Customs programs: C-TPAT, ISA, the Business Anti-Smuggling Coalition (BASC), or any other internationally-recognized security initiatives?

Yes, C-TPAT (Please specify SVI# and include copy of C-TPAT Certificate) SVI# _____

Yes, specify other _____ No

16. If a member of the C-TPAT program, has your company been certified and validated? If yes, please state your company's Tier in the C-TPAT program.

Yes, C-TPAT certified and validated C-TPAT Tier 1 2 3 Yes, certified, not validated N/A



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17. Does your company have a Security & Threat Awareness Training program for its employees?

Yes No

18. If yes, how often is Security & Threat Awareness training provided for employees?

Annually Quarterly Monthly Weekly As needed

19. Is training documented and records kept on file?

Yes No

20. Does your company have an incentive program to encourage employees to report security anomalies and incidents?

Yes No

21. Does your company have written procedures for reporting security anomalies and incidents to local law enforcement and/or U.S. Customs?

Yes No

22. Do your company's computer systems have limited access that is reserved for authorized company personnel use only?

Yes No

23. Are your company's computer systems password protected to prevent unauthorized access?

Yes No

24. Does your company use firewalls, encryption software and anti-virus protection to guard from outside intrusion?

Yes No

25. Does your company have a data backup plan?

Yes No

26. Is system mainframe back-up data stored at an off-site location for safekeeping?

Yes No

27. Identify the individual within your company to whom questions about the security of Beall's, Inc. and its subsidiaries shipments may be directed:

Contact Name: _____

Contact Title: _____

Company Name: _____

Phone: _____

Email: _____



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Insert Company Name Here acknowledges Beall's, Inc. and its subsidiaries emphasis on supply chain security and recognizes the expectation that Beall's business partners share that commitment. I understand that Beall's, Inc. and its subsidiaries may refer security inquiries from U. S. Customs to me.

Name: _____

Title: _____

Signature: _____

Date: _____

Company Stamp/Seal: _____